



Handling of DBS Certificate Information Policy

The Richard Huish Trust

Trust Executive



Lead reviewer	Chief People Officer
Approving board/committee	People & Performance Committee
Date approved	25 th September 2023
Date implemented	25 th September 2023
Review period	2 Years
Next review due	October 2025

CONTENTS

Introduction	3
Enquiries	3
1. PURPOSE	2
2. SCOPE	3
3. POLICY STATEMENT	3
4. QUALITY MANAGEMENT.....	3

INTRODUCTION

The DBS Code of Practice states that all registered bodies must have a written policy on the correct handling and safekeeping of DBS certificate information.

This policy details the secure storage, handling, use, retention and disposal of Disclosure and Barring Service (DBS) certificates and certificate information, although it will be subject to amendment from time to time in accordance with legislation.

ENQUIRIES

Any enquiries about this document or suggestions on how it can be improved should be addressed to the CPO (Chief People Officer).

1. PURPOSE

As an organisation using the Disclosure and Barring Service (DBS) checking service to help assess the suitability of applicants for positions of trust, Richard Huish complies fully with the code of practice regarding the correct handling, use, storage, retention and disposal of certificates and certificate information.

It also complies fully with its obligations under the General Data Protection Regulation (GDPR), Data Protection Act 2018 and other relevant legislation pertaining to the safe handling, use, storage, retention and disposal of certificate information and has a written policy on these matters, which is available to those who wish to see it on request.

2. SCOPE

All Trust staff and volunteers who qualify under the conditions set out below.

3. POLICY STATEMENT

Storage and Access

- 3.1 Certificate information should be kept securely, in lockable, non-portable, storage containers with access strictly controlled and limited to those who are entitled to see it as part of their duties.

Handling

- 3.2 In accordance with section 124 of the Police Act 1997, certificate information is only passed to those who are authorised to receive it in the course of their duties. We maintain a record of all those to whom certificates or certificate information has been revealed and it is a criminal offence to pass this information to anyone who is not entitled to receive it.
- 3.3 In addition, organisations that require retention of certificates in order to demonstrate 'safer recruitment' practice for the purpose of safeguarding audits may be legally entitled to retain the certificate. This practice will need to be compliant with the Data Protection Act, Human Rights Act, General Data Protection Regulation (GDPR), and incorporated within the individual organisation's policy on the correct handling and safekeeping of DBS certificate information.

Usage

- 3.4 Certificate information is only used for the specific purpose for which it was requested and for which the applicant's full consent has been given.

Retention

- 3.5 Once a recruitment (or other relevant) decision has been made, we do not keep certificate information for any longer than is necessary. This retention will allow for the consideration and resolution of any disputes or complaints, or be for the purpose of completing safeguarding audits.

Throughout this time, the usual conditions regarding the safe storage and strictly controlled access will prevail.

Disposal

- 3.6 Once the retention period has elapsed, we will ensure that any DBS certificate information is immediately destroyed by secure means, for example by shredding, pulping or burning. While awaiting destruction, certificate information will not be kept in any insecure receptacle (e.g. waste bin or confidential waste sack).

We will not keep any photocopy or other image of the certificate or any copy or representation of the contents of a certificate. However, notwithstanding the above, we may keep a record of the date of issue of a certificate, the name of the subject, the type of certificate requested, the position for which the certificate was requested, the unique reference number of the certificates and the details of the recruitment decision taken.

Acting as an Umbrella Body

- 3.7 *Before acting as an umbrella body (an umbrella body being a registered body which countersigns applications and receives certificate information on behalf of other employers or recruiting organisations), we will take all reasonable steps to satisfy ourselves that they will handle, use, store, retain and dispose of certificate information in full compliance with the [code of practice](#) and in full accordance with this policy.*

We will also ensure that any body or individual, at whose request applications for DBS certificates are countersigned, has such a written policy and, if necessary, will provide a model policy for that body or individual to use or adapt for this purpose.

4. QUALITY MANAGEMENT

- 4.1 It will be the responsibility of the CPO to review and monitor the effectiveness of the Policy and to recommend change where necessary.
- 4.2 The effectiveness and usage of the Policy will be reported to the Huish People and Performance Committee.